

DOCKET NO.: 213876US2PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Naomasa SHIRAISHI

SERIAL NUMBER: 09/926,149

FILED: 12 September 2001

FOR: EXPOSURE APPARATUS AND EXPOSURE METHOD, AND DEVICE  
MANUFACTURING METHOD

**RESPONSE TO NOTICE OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371**

ASSISTANT COMMISSIONER FOR PATENTS & TRADEMARKS  
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated **29 July 2002**, and in accordance with the provisions of 35 U.S.C. 371, Applicants submit herewith a Rule 63 Declaration and a Preliminary Amendment. As a result of the Preliminary Amendment, the additional claims fees are being paid herewith.

The required surcharge was paid at the time of filing the application.

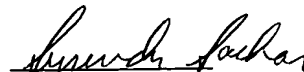
In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Our check in the amount of \$1,116.00 is attached hereto. If any variance exists between the amount enclosed and the required Government fee, please charge or credit the difference to our Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136 and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak  
Attorney of Record  
Registration No. 24,913  
Surinder Sachar  
Registration No. 34,423



**22850**

Docket No. 213876US2PCT

IN RE APPLICATION OF: Naomasa SHIRAISHI

SERIAL NO: 09/926,149

FILED: September 12, 2001

FOR: EXPOSURE APPARATUS AND EXPOSURE METHOD, AND DEVICE MANUFACTURING METHOD

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: Notification of Missing Requirements/Response to Notification/Declaration Supplemental Application Data Sheet/Check for \$1,116.00

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	54	MINUS	20	34	x \$18 =	\$612.00
INDEPENDENT	9	MINUS	3	6	x \$84 =	\$504.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$1,116.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$1,116.00

☐ A check in the amount of \$0.00 is attached.

☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

09/20/2002 LLANDGRA 00000085 09926149

01 FC:966  
02 FC:964

612.00 OP  
504.00 OP

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/926,149	Naomasa Shiraishi	213876US2PCT

Oblon Spivak McClelland Maier & Neustadt  
Fourth Floor, 1755 Jefferson Davis highway  
Arlington, VA 22202

INTERNATIONAL APPLICATION NO.	
PCT/JP00/00604	
I.A. FILING DATE	PRIORITY DATE
02/04/2000	03/12/1999

CONFIRMATION NO. 2535

371 FORMALITIES LETTER



\*OC000000008503532\*

Date Mailed: 07/29/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Request for Immediate Examination

RECEIVED: 8-1-02

OBLON, SPIVAK, McCLELLAND  
MAIER & NEUSTADT, PC

DOCKETING DEPT

Initials/Date Docketed: (S) 8-1-02  
Type of Resp(s): Decl / Add'l claim fees  
Due Date(s): 9-29-02

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$1092 as a non-small entity, including any required multiple dependent claim fee,

are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$1092** for a Large Entity:

- Total additional claim fee(s) for this application is **\$1092**
  - **\$480** for **6** independent claims over 3.
  - **\$612** for **34** total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 305-3737

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/926,149	PCT/JP00/00604	213876US2PCT

O.S.&M. File No. 213876US2PCT

By MJS/dd FF

Due date 9-29-02

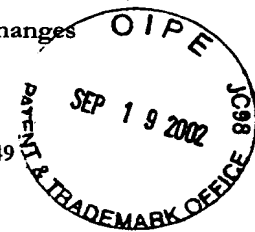
Serial No. 09/926,149

In the Matter of the Application of Naomasa SHIRAISHI et al.

For EXPOSURE APPARATUS AND EXPOSURE METHOD, AND  
DEVICE MANUFACTURING METHOD

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ \_\_\_ pgs. Specification, \_\_\_ Claims (English Translation)
- ☒ Response to Notice of Missing Requirement Under 35 U.S.C. 371
- ☒ Combined Declaration, Petition & Power of Attorney (3 pages)
- ☒ Copy of Notification of Missing Requirements
- ☒ Preliminary Amendment
- ☒ Supplemental Application Data Sheet
- ☒ Check for \$1,116.00; ☒ Dep. Acct. Order Form
- ☐ Verified Statement (Declaration) Claiming Small Entity Status
- ☐ Submission of Verified Statement (Dec.) Claiming Small Entity Status
- ☐ Declaration of \_\_\_\_\_
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings \_\_\_ sheets
- ☐ List of Related Cases
- ☐ Information Disclosure Statement; ☐ PTO-1449
- ☐ Cited References ( )
- ☐ \_\_\_\_\_ Search Report
- ☐ Statement of Relevancy



DATE RECEIVED \_\_\_\_\_

RECEIVED  
HAR 11 2003  
TC 2800 MAIL ROOM